

Attorney Docket No.: <u>SSI-08100</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 1762

162 N. Wolfe Road Sunnyvale, CA 94086

Customer No.: 28960

(408) 530-9700

TRANSMITTAL LETTER

Examiner:

Joseph Hillman

Serial No.: 10/639,077

Filed: August 11, 2003

For: ALI

ALIGNMENT MEANS FOR

CHAMBER CLOSURE TO

REDUCE WEAR ON SURFACES

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313

Sir:

Enclosed please find an Information Disclosure Statement and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

You will also find enclosed the associated Transmittals, Electronic Information Disclosure Statements, and United States Patent and Trademark Office Acknowledgment Receipts for the electronically filed Information Disclosure Statement (EFS ID #51273); (EFS ID #51278); (EFS ID #51279); and (EFS ID #51280) filed on November 25, 2003.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. <u>08-1275</u>. An originally executed duplicate of this transmittal is enclosed for this purpose.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated:

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Thomas B. Hayerston

Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

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HAVERSTOCK & OWENSLLP.



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In re Application of:

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INFORMATION DISCLOSURE STATEMENT

162 N. Wolfe Road Sunnyvale, CA 94086 (408) 530-9700

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

United States Patents or Published Patent Applications have been filed electronically (EFS ID #51273); (EFS ID #51278); (EFS ID #51279); and (EFS ID #51280). Applicants have become aware of the following printed publication which may be material to the examination of this application:

- Chinese Publication No. CN 1399790 A;
- German Publication No. DE 36 08 783 A1;
- German Publication No. DE 198 60 084 A1;
- European Publication No. EP 0 244 951 A2;
- European Publication No. EP 0 272 141 A2;
- European Publication No. EP 0 453 867 A1;
- European Publication No. EP 0 572 913 A1;
- European Publication No. EP 0 587 168 A1;
- European Publication No. EP 0 679 753 B1;
- European Publication No. EP 0 903 775 A2;

Attorney Docket No.: PATENT SSI-08100

French Publication No. FR 1 499 491;

- Great Britain Publication No. GB 2 003 975;
- Great Britain Publication No. GB 2 193 482;
- Japanese Patent Abstract JP 2-148841;
- Japanese Patent Abstract JP 2-209729;
- Japanese Patent Abstract JP 8-186140;
- Japanese Patent Abstract JP 10-144757;
- Japanese Patent Abstract JP 10-335408;
- Japanese Patent Abstract JP 11-200035;
- Japanese Patent Abstract JP 56-142629;
- Japanese Patent Abstract JP 60-238479;
- Japanese Patent Abstract JP 60-246635;
- Japanese Patent Abstract JP 61-231166;
- Japanese Patent Abstract JP 62-125619;
- Japanese Patent Abstract JP 63-303059;
- Japanese Patent Abstract JP 2000/106358;
- Swiss Publication No. SE 251213;
- PCT Publication No. WO 87/07309;
- PCT Publication No. WO 91/12629;
- PCT Publication No. WO 99/18603;
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- PCT Publication No. WO 01/10733 A1;
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- PCT Publication No. WO 01/55628 A1;
- PCT Publication No. WO 01/68279 A2;
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- PCT Publication No. WO 03/030219 A2; Hideaki Itakura et al., "Multi-Chamber Dry Etching System", Solid State Technology, April 1982, pp. 209-214;

- Sun, Y.P. et al., "Preparation of Polymer-Protected Semiconductor Nanoparticles Through the Rapid Expansion of Supercritical Fluid Solution," Chemical Physics Letters, pp. 585-588, May 22, 1998;
- Dahmen, N. et al., "Supercritical Fluid Extraction of Grinding and Metal Cutting Waste Contaminated with Oils," Supercritical Fluids - Extraction and Pollution Prevention, ACS Symposium Series, Vol. 670, pp. 270-279, 21 Oct 1997;
- Xu, C. et al., "Submicron-Sized Spherical Yttrium Oxide Based Phosphors Prepared by Supercritical CO2-Assisted aerosolization and pyrolysis," Appl. Phys. Lett., Vol. 71, No.12, September 22, 1997, pp. 1643-1645;
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- Gallagher-Wetmore, P. et al., "Supercritical Fluid Processing: A New Dry Technique for Photoresist Developing," SPIE Vol. 2438, pp.694-708, Jun. 1995.
- McHardy, J. et al., "Progress in Supercritical CO2 Cleaning," SAMPE Jour., Vol. 29, No. 5, pp. 20-27, September 1993;
- Purtell, R, et al., "Precision Parts Cleaning using Supercritical Fluids, "J. Vac, Sci, Technol. A. Vol. 11, No. 4, July 1993, pp. 1696-1701;
- Hansen, B.N. et al., "Supercritical Fluid Transport Chemical Deposition of Films," Chem. Mater., Vol. 4, No. 4, pp, 749-752, 1992;
- Hybertson, B.M. et al., "Deposition of Palladium Films by a Novel Supercritical Fluid Transport Chemical Deposition Process," Mat. Res. Bull., Vol. 26, pp. 1127-1133, 1991;
- Ziger, D. H. et al., "Compressed Fluid Technology: Application to RIE-Developed Resists," AiChE Jour., Vol. 33, No. 10, pp. 1585- 1591, October 1987;
- Matson, D.W. et al., "Rapid Expansion of Supercritical Fluid Solutions: Solute Formation of Powders, Thin Films, and Fibers," Ind. Eng. Chem. Res., Vol. 26, No. 11, pp. 2298-2306, 1987;
- Tolley, W.K. et al., "Stripping Organics from Metal and Mineral Surfaces using Supercritical Fluids," Separation Science and Technology, Vol. 22, pp. 1087-1101, 1987;
- Joseph L. Foszcz, "Diaphragm Pumps Eliminate Seal Problems", Plant Engineering, pp. 1-5, February 1, 1996; and



Attorney Docket No.: <u>PATENT</u> SSI-08100

Bob Agnew, "WILDEN Air-Operated Diaphragm Pumps", Process & Industrial Training Technologies, Inc., 1996.

This Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 11-25-03

Thomas B. Haverstock Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

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U.S. Department of Commerce Patent and Trademark Office

Attorney Docket No.: SSI-08100

Serial No.: 10/639,077

U.S. Department of Cor Patent and Trademark Con INFORMATION DISCLOSERE STATEMENT BY APPLICANT (Use Severa Sheets if Necessary)

Applicant: Joseph Hillman

Group Art Unit: 1762

37 CFR § 1.98	3/8/2			Filing Date: Augu	Filing Date: August 11, 2003 Group Art Unit: 1762					
37 CFR § 1.98	W. TA	ADEMARIA F	OREIGN PATENTS O	R PUBLISHED FOREIGN PATENT AP	PLICATIONS					
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Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Patent and Trademark (
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(Use Several sheets if Necessary)

Applicant: Joseph Hillman

 1	3(b))	F	OREIGN PATENTS	OR PUBLISHED FOR	REIGN PATENT APPLIC	T	T		_			
		Document Number	Publication Date	Country	Country / Patent Office		Subclass	Trans Yes	Slatio			
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		OTHER	DOCUMENTS (Incl	uding Author, Title, [Date, Relevant Pages, Plac	ce of Publication)						
	BP	Hideaki Itakura et al., "Multi-Chamber Dry Etching System", Solid State Technology, April 1982, pp. 209-214.										
	BQ	Sun, Y.P. et al., "Preparation of Polymer-Protected Semiconductor Nanoparticles Through the Rapid Expansion of Supercritical Fluid Solution Chemical Physics Letters, pp. 585-588, May 22, 1998.										
/	BR	Dahmen, N. et al., "Supercritical Fluid Extraction of Grinding and Metal Cutting Waste Contaminated with Oils," Supercritical Fluids - Extraction and Pollution Prevention, ACS Symposium Series, Vol. 670, pp. 270-279, 21 Oct 1997.										
/	BS	Xu, C. et al., "Submicron-Sized Spherical Yttrium Oxide Based Phosphors Prepared by Supercritical CO2-Assisted aerosolization and pyrolysis," Appl. Phys. Lett., Vol. 71, No.12, September 22, 1997, pp. 1643-1645.										
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1	BU	Gallagher-Wetmore, P. et al., "Supercritical Fluid Processing: A New Dry Technique for Photoresist Developing," SPIE Vol. 2438, pp.694- Jun. 1995.										
/	BV	McHardy, J. et al., "Progress in Supercritical CO2 Cleaning," SAMPE Jour., Vol. 29, No. 5, pp. 20-27, September 1993.										
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1	BY	Hybertson, B.M. et al., "Deposition of Palladium Films by a Novel Supercritical Fluid Transport Chemical Deposition Process," Mat. Res. F. Vol. 26, pp. 1127-1133, 1991.										
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/	СВ	Tolley, W.K. et al., "Stripping Organics from Metal and Mineral Surfaces using Supercritical Fluids," Separation Science and Technology, V 22, pp. 1087-1101, 1987.										
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